

SPRING 2010 APPLICATION FOR INVESTOR ADVOCACY CLINIC

I. Eligibility

Students in their last two years are eligible for the Investor Advocacy Clinic (4 credits/semester), but third-year day students and fourth-year evening students will be given preference if they have not yet taken a full-year clinical program. Participation in a clinic is also subject to an employment check. Students will not be able to engage in employment which may conflict with the clinic.

II. Requirements

1. You must have successfully completed or be enrolled in an Evidence course at the time you begin the clinical program.
2. No student may be enrolled in more than one clinical program per semester nor receive more than twelve clinical/internship credits during his or her law school career.
3. Every student must be in good academic standing as provided by the law school catalogue/student handbook at the beginning of the clinical program in order to be certified to appear in court. Enrolled students names are submitted to the Dean's Office by the Clinical Programs Office to be certified under S.J.C.Rule 3:03.
4. Program Requirements:

Investor Advocacy Applicants: The Investor Advocacy Clinic is a two-semester, in-house, live-client, clinical program available to students in their last two years. For the spring 2010 semester it will be one semester/4 credits. The clinic will provide students with an opportunity to develop lawyering skills and gain substantive legal knowledge through representing small investors in securities arbitration. Cases may involve allegations of churning and unauthorized trading, unsuitability, misrepresentation, and failure to supervise under the Rules and Procedures of the Financial Institutions Regulatory Authority (FINRA), a self-regulatory body that administers a system to arbitrate investor claims.

Students will provide representation from initial client contact through confirming or vacating arbitration awards in court. They will perform client and witness interviews, conduct investigations, carry out trading and suitability analyses and legal research, and draft pleadings such as statements of claim, answers, replies, and motions. Students may also have opportunities to negotiate settlements and draft settlement agreements, represent clients in arbitration hearings, and participate in discovery and pre-hearing conferences.

Clinic students are required to attend a weekly two-hour seminar covering broker-dealer regulation, arbitration theory and procedure, and lawyering skills. Students are also required to spend an average of 12 hours per week answering inquiries about the clinic's services from small investors, interviewing clients and conducting conference calls and legal research.

Enrollment is very limited for the spring 2010 semester. Students who have taken courses in securities transactions and ADR, negotiation or arbitration will be given preference. Questions: Contact Professor Dwight Golann at dgolann@suffolk.edu

Please stop by the Clinical Programs Office 1st Floor or call 573-8100 if you have any further questions.

SPRING 2010
INVESTOR ADVOCACY CLINICAL APPLICATION

The Investor Advocacy Clinic is a new, grant funded opportunity which will be offered to a limited number of students for the spring semester 2010. It will again be offered as a full-year clinic starting in the fall 2010. The clinical application for the fall 2010 will be available sometime in the end of February.

Name: _____ MUST PROVIDE
SCHOOL
EMAIL: _____

Current Address: _____
_____ Cell Phone: _____
_____ Telephone: _____

STUDENT STATUS

Circle One: Day Student Evening Student Anticipated month/year of graduation: _____

CLASSES

Number of previous Internship/Clinical credits taken: _____

By the spring of 2010 I will have/be (**Circle One**): Completed Evidence **OR** Currently enrolled in Evidence

Have you taken:

| | | | If yes, which semester. |
|---------------------------|-----|----|--------------------------------|
| Securities Regulation | Yes | No | _____ |
| ADR Survey | Yes | No | _____ |
| Mediation | Yes | No | _____ |
| Negotiation | Yes | No | _____ |
| Other related ADR courses | Yes | No | _____ |

Other Languages - Specify knowledge proficiency _____
(Speak, read or write)

PLEASE ATTACH A RESUME. (The resume will only be used to help identify disqualifying conflicts.)
PLEASE ATTACH A BRIEF PERSONAL STATEMENT (500-700 words). Describe your interest and what you would like to achieve through your clinical experience. We want to ensure that participation in the clinic will meet your goals. These statements are used for course planning purposes only and are not read until after the selection process has been completed. The submission of the application means you have read and accept the conditions related to the clinic for which you have applied.

**APPLICATIONS MUST BE SUBMITTED TO THE CLINICAL PROGRAMS OFFICE OR THE REGISTRAR'S
OFFICE BY: WEDNESDAY, DECEMBER 2, 2009.
MAIL, EMAIL AND/OR FAXED APPLICATIONS WILL NOT BE ACCEPTED.**

All students will be notified by mail **the week of December 14, 2009**. Please no phone calls and please wait to receive your letter.

Student Signature